IN THE UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF OHIO EASTERN DIVISION

KEN JOHANSEN,

Plaintiff,

Case No.: 2:16-cv-00121

VS.

Chief Judge Algenon L. Marbley

ONE PLANET OPS, INC.,

Magistrate Judge Preston Deavers

Defendants.

FINAL APPROVAL ORDER

This matter is before the Court on Plaintiff's Motion for Attorney Fees, Expenses, and Class Representative Award (ECF No. 95) and Unopposed Motion for Final Approval (ECF No. 97) of a proposed class action settlement (the "Settlement") of the above-captioned action (the "Action") between Plaintiff Ken Johansen, individually and on behalf of the class of persons he represents (the "Settlement Class" defined below) and Defendant One Planet Ops Inc. ("One Planet"), pursuant to the parties' Settlement Agreement. Based upon a review of the Settlement Agreement and the motions filed by the parties, the Court hereby **GRANTS** both motions and finds as follows:

- 1. Unless defined herein, all defined terms in this Final Approval Order and accompanying Judgment shall have the respective meanings set forth in the Agreement.
- 2. This Court has jurisdiction over the subject matter of the Action and over all parties to the Action, including all Settlement Class Members.
- 3. The Court preliminarily approved the Settlement Agreement and entered the Preliminary Approval Order on October 11, 2019, and notice was given to all members of the Settlement Class under the terms of the Preliminary Approval Order.

- 4. The Court has read and considered the papers filed in support of the Motion, including the Settlement Agreement and the exhibits thereto, the arguments submitted on behalf of the Plaintiff, Settlement Class Members, and Defendant, and supporting declarations. The Court has not received any objections from any person or Settlement Class Members regarding the Settlement. The Court held a hearing on February 25, 2020 and on March 4, 2020, at which time the parties were afforded the opportunity to be heard in support of or in opposition to the Settlement Agreement. Furthermore, the Court finds that notice under the Class Action Fairness Act was timely and properly effectuated on August 5, 2019, and that ninety (90) days have passed without comment or objection from any governmental entity.
- 5. Based on the papers filed with the Court and the presentations made to the Court at the hearing, the Court now gives final approval to the Settlement and finds that the Settlement is fair, adequate, reasonable, and in the best interests of the Settlement Class. The factors a court must consider in approving a settlement include:
 - (1) the risk of fraud or collusion; (2) the complexity, expense and likely duration of the litigation; (3) the amount of discovery engaged in by the parties; (4) the likelihood of success on the merits; (5) the opinions of class counsel and class representatives; (6) the reaction of absent class members; and (7) the public interest.

Gascho v. Glob. Fitness Holdings, LLC, 822 F.3d 269, 276 (6th Cir. 2016). This court finds that approval of the settlement is supported by, among other things, the complex legal and factual history of the Action, the extensive discovery undertaken by the parties, the uncertainty of the ultimate outcome should the matter proceed to trial, the public interest in the resolution of this matter, the fact that the Settlement is the result of arm's-length negotiations presided over by a neutral mediator, and the settlement benefits being made available to Settlement Class Members.

6. Under Federal Rule of Civil Procedure 23(c), the Court certifies, for settlement

purposes only, the following "Settlement Class:"

All persons in the United States whose residential telephone number was registered on the National Do Not Call Registry for more than 30 days, and who, from February 10, 2012 through the date of preliminary approval of the Settlement Agreement, received more than one telephone solicitation allegedly from The Lead House or any third-party call center that contracted with the Lead House within any twelve-month period. This described class is limited to those 9,407 persons whose phone numbers were included in the records produced by Lead House and whose numbers were identified in Plaintiff's Expert's Report, but excluding Class Counsel, Defendant's Counsel, and any judge before whom the Action is assigned and members of the immediate family of such judge.

7. Under Federal Rule of Civil Procedure 23, Ken Johansen is appointed as Class Representative and the following are appointed as Class Counsel:

Brian K. Murphy Jonathan P. Misny Murray Murphy Moul + Basil LLP 1114 Dublin Road Columbus, Ohio 43215 (614) 488-0400 murphy@mmmb.com misny@mmmb.com

Edward A. Broderick Broderick Law, P.C. 99 High St., Suite 304 Boston, MA 02110 (617) 738-7080 ted@broderick-law.com

Matthew P. McCue Law Office of Matthew P. McCue 1 South Ave., Third Floor Natick, MA 01760 (508) 655-1415 mmccue@massattorneys.net

8. With respect to the Settlement Class, the Court finds that the prerequisites for a class action under Federal Rules of Civil Procedure 23(a) and (b)(3) have been met, including that the Settlement Class is sufficiently numerous, that there are questions of law and fact common to members of the Settlement Class that predominate, that the claims of Plaintiff are

typical of the claims of the Settlement Class, that Plaintiff and Class Counsel adequately represent the interests of the Settlement Class, and a settlement class action is a superior method of adjudicating this Action.

- 9. The Court has determined that the Notice given to the Settlement Class, in accordance with the Notice Plan in the Agreement and the Preliminary Approval Order, fully and accurately informed members of the Settlement Class of all material elements of the Settlement and constituted the best notice practicable under the circumstances, and fully satisfied the requirements of due process, Federal Rule of Civil Procedure 23, and all applicable law.
- 10. The Court finds that proper and timely notice was provided to the appropriate state and federal officials of the Settlement Agreement under the Class Action Fairness Act of 2005, 28 U.S.C. § 1715.
- 11. All persons whose names were included on the list supplied by Class Counsel, attached as Exhibit E to the Declaration of the Settlement Administrator, as having made timely and valid requests for exclusion are excluded from the Settlement Class and are not bound by this Final Approval Order and Judgment.
- 12. The Court orders the Parties to the Settlement Agreement to perform their obligations thereunder. The Settlement Agreement, including the releases therein, shall be deemed incorporated herein as if explicitly set forth and shall have the full force of an order of this Court. Plaintiff, each and every Settlement Class Member, and the remainder of the Releasing Parties (as defined in the Settlement Agreement) fully, finally, completely, and forever, release, relinquish, acquit, and discharge the Released Parties (as defined in the Settlement Agreement) against all Released Claims, including all actual, potential, filed, known

or unknown (including Unknown Claims), fixed or contingent, claimed or unclaimed, asserted or unasserted, suspected or unsuspected, material or immaterial, matured or unmatured, hidden or concealed, disclosed or undisclosed, accrued or unaccrued, direct or indirect, individual or representative, claims, counterclaims, suits, debts, controversies, promises, losses, sums of money, covenants, assertions, allegations, contentions, actions, demands, liabilities, rights, causes or rights of action, contracts or agreements, extra-contractual claims, damages, punitive, exemplary, or expenses, costs, attorneys' fees and or obligations of any kind or nature whatsoever, whether in law or in equity, based on the TCPA or any other federal law, state law, municipal law, statutory or common law, territorial law, foreign law, contract, regulatory promulgation (including, but not limited to, any opinion or declaratory ruling), or any other law, rule, or regulation, against the Released Parties, or any of them, arising out of or relating in any way to telemarketing calls by or on behalf of One Planet. This includes, but is not limited to, any telemarketing calls allegedly by or on behalf, or purporting to act on the behalf, of One Planet alleged to violate the TCPA, to one or more numbers registered with any state do-notcall list, internal do-not-call list(s), or any federal or state unfair and deceptive practice statutes, invasion of privacy, and/or conversion. The Released Claims include any and all claims that were brought or could have been brought in the Action.

13. The Plaintiff and all Settlement Class Members have acknowledged that the Released Claims may include claims, rights, demands, causes of action, liabilities, or suits that are not known or suspected to exist. The Plaintiff and all Settlement Class Members nonetheless release all such Released Claims against the Released Parties. Plaintiff and the Releasing Parties understand and acknowledge that they s have expressly waived and relinquished, to the fullest extent permitted by law, the provisions, rights and benefits of § 1542 of the California Civil

Code, which provides as follows:

A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS THAT THE CREDITOR OR RELEASING PARTY DOES NOT KNOW OR SUSPECT TO EXIST IN HIS OR HER FAVOR AT THE TIME OF EXECUTING THE RELEASE AND THAT, IF KNOWN BY HIM OR HER, WOULD HAVE MATERIALLY AFFECTED HIS OR HER SETTLEMENT WITH THE DEBTOR OR RELEASED PARTY.

Plaintiff and the Releasing Parties understand and acknowledge that they have waived any and all provisions, rights and benefits conferred by any law of any state or territory of the United States, or principle of common law, or the law of any jurisdiction outside of the United States, which is similar, comparable or equivalent to § 1542 of the California Civil Code. Plaintiff and the Releasing Parties understand and acknowledge they may discover facts in addition to or different from those that they now know or believe to be true with respect to the subject matter of this release, but that it is their intention to finally and forever settle and release the Released Claims, notwithstanding any Unknown Claims they may have.

- 14. The Court dismisses this Action with prejudice and without costs (except as otherwise provided herein and in the Settlement Agreement) as to Plaintiff and all Settlement Class Members. The Court adjudges that the Releasing Parties, and each of them, have fully, finally, and forever released, relinquished, and discharged all Released Claims against the Released Parties.
- 15. The Releasing Parties are forever barred and permanently enjoined from directly, indirectly, representatively, or in any other capacity filing, commencing, prosecuting, continuing, litigating, intervening in, participating in as class members or otherwise, or receiving any benefits or other relief from, any lawsuit, any arbitration, or any administrative, regulatory, or other proceeding against any of the Released Parties in any jurisdiction based on or relating

in any way to the Released Claims, and the Releasing Parties are forever barred and permanently enjoined from filing, commencing, or prosecuting any lawsuit individually or as a class action against any of the Released Parties (including by seeking to amend a pending complaint to include class allegations or by seeking class certification in a pending action in any jurisdiction) based on or relating in any way to the Released Claims.

- Agreement will be binding on and have *res judicata* and preclusive effect in all pending and future lawsuits or other proceedings maintained by or on behalf of the Releasing Parties. The Released Parties may file the Settlement Agreement and/or this Final Approval Order and accompanying Judgment in any action or proceeding that may be brought against them in order to support a defense or counterclaim based on principles of *res judicata*, collateral estoppel, release, good faith settlement, judgment bar or reduction, or any other theory of claim preclusion or issue preclusion or similar defense or counterclaim.
- 17. The benefits and payments described in the Agreement are the only consideration, fees, and expenses Defendant or the Released Parties shall be obligated to give to the Class Representative, Settlement Class Members, and Class Counsel in connection with the Agreement, the Released Claims, and the payment of attorneys' fees and expenses.
- 18. Without affecting the finality of this Final Approval Order and Judgment in any way, the Court retains jurisdiction over: (a) implementation and enforcement of the Settlement Agreement until the final judgment contemplated hereby has become effective and each and every act agreed to be performed by the parties hereto pursuant to the Settlement Agreement has been performed; (b) any other action necessary to conclude the Settlement and to administer, effectuate, interpret, and monitor compliance with the provisions of the Settlement Agreement;

and (c) all parties to this Action and Settlement Class Members for the purpose of implementing and enforcing the Settlement Agreement.

19. The Court approves payment of attorneys' fees to Class Counsel in the amount of \$250,853.33 plus their costs and expenses of \$37,431.63. This amount shall be paid from the Settlement Fund in accordance with the terms of the Settlement Agreement.

There are two methods for determining whether proposed attorney's fees are appropriate: the lodestar and the percentage-of-the-fund. *Van Horn v. Nationwide Prop. & Cas. Ins. Co.*, 436 F. App'x 496, 498 (6th Cir. 2011). The lodestar figure represents the number of hours spent multiplied by reasonable rates. *Reed v. Rhodes*, 179 F.3d 453, 471 (6th Cir.1999). When determining a reasonable rate for the lodestar method, courts use as a guideline "the prevailing market rate, defined as the rate that lawyers of comparable skill and experience can reasonably expect to command within the venue of the court of record." *Gonter v. Hunt Valve Co.*, 510 F.3d 610, 618 (6th Cir. 2007)

A district court has discretion to select which method is appropriate in light of the "unique characteristics of class actions in general, and of the unique circumstances of the actual cases before them." *Id.* Although not mandatory, courts frequently cross-check counsel's request for percentage of the fund awards against the lodestar. *Id.* at 501. Courts also frequently consider several factors in determining if attorney's fees are reasonable including:

(1) the value of the benefit rendered to the class, (2) society's stake in rewarding attorneys who produce such benefits in order to maintain an incentive to others, (3) whether the services were undertaken on a contingent fee basis, (4) the value of the services on an hourly basis, (5) the complexity of the litigation, and (6) the professional skill and standing of counsel involved on both sides.

Michel v. WM Healthcare Sols., Inc., No. 1:10-CV-638, 2014 WL 497031, at *15 (S.D. Ohio Feb. 7, 2014).

Plaintiff's counsel requests an award of 1/3 of the Settlement Fund, approximately \$250,853.33. Fees representing one-third of the total settlement amount have been found to be reasonable. *See, e.g., Farkas v. Boschert*, 2018 WL 3100905, *2 (E.D. Mich. June 25, 2018) (noting that "Plaintiff's counsel fee, representing one-third of the total settlement amount, is reasonable for the work performed and the result obtained"). Plaintiff's counsel represents that the total lodestar in this case comes to "593 hours at a value of \$319,265 based on the reasonable rates" of the attorneys who billed time on the matter. (ECF No. 99 at 2). Plaintiff's counsel notes that their requested award of one third the Settlement Fund, \$250,853.33, represents 78.5% of the actual time spent by Counsel on the matter. Plaintiff's counsel has also attached biographical sketches of its attorneys indicating that they have worked on similar cases in the past and that other courts have approved of their billing rates as reasonable. (ECF No. 99, Ex. 1-3).

Plaintiff's counsel provided details on the time and effort spent on the matter, including time spent briefing various motions (motions to dismiss, for summary judgment, for class certification), extensive fact and expert discovery, preparation for four depositions, and participation in an extensive mediation process. (ECF No. 99 at 1-2). Within the biographical sketches of the class attorneys, counsel has provided their billing rates, ranging from \$150/hour for time billed by paralegals to \$700/hour for time billed by partners. (ECF No. 99, Ex. 1-3).

The Court has considered the materials submitted by Class Counsel in support of final approval of the Settlement and their request for attorneys' fees, costs, and expenses. Due to Counsel's efforts, each of the class members stands to receive approximately \$831.74, a total of . (ECF No. 97 at 4). The Court notes that no filed objections have been filed to this award and that

the class notice specifically and clearly advised the class that Class Counsel would seek this award.

Based on a review of the materials submitted by Plaintiff's counsel and the complexity and ultimate resolution of this case, this Court determines that an award of \$250,853.33 plus costs and expenses of \$37,431.63 is fair, reasonable, and appropriate since it compensates Plaintiff's counsel for their efforts but is still less than the amount these attorneys would have billed for their time. Such an award meets this Circuit's articulation of a reasonable fee as being one that is "adequately compensatory to attract competent counsel yet which avoids producing a windfall for lawyers." Gonter v. Hunt Valve Co., 510 F.3d 610, 616 (6th Cir. 2007) (affirming district court's decision to lower attorney's fees award determining that district court "appropriately balanced the twin goals of ensuring adequate compensation for counsel who take on FCA cases and preventing a windfall for lawyers.").

Defendant shall not be responsible for and shall have no liability with respect to allocation among Class Counsel, and/or any person who may assert a claim thereto, of attorneys' fees and expenses awarded by the Court.

20. The Court also approves the incentive fee payment of \$10,000 for Plaintiff Ken Johansen. Courts have determined that a higher incentive award is justified when a representative plaintiff has spent significant time and effort in pursuing the litigation. *See Allan v. Realcomp II, Ltd.*, No. 10-CV-14046, 2014 WL 12656718, at *3 (E.D. Mich. Sept. 4, 2014) (approving class representative award where representative plaintiff spent significant time on litigation communicating with counsel and sitting for depositions); *c.f. Michel v. WM Healthcare Sols., Inc.*, No. 1:10-CV-638, 2014 WL 497031, at *12 (S.D. Ohio Feb. 7, 2014) (reducing representative plaintiff's award where the plaintiff did not have extensive involvement in lawsuit

and did not sit for depositions or participate in discovery). The incentive award is reasonable in light of the service performed by Plaintiff for the class. Mr. Johansen participated extensively in the discovery process and assisted with the production of approximately seven gigabytes of data. Mr. Johansen also spent significant amounts of time consulting with class counsel and preparing and sitting for a deposition in this matter. This amount shall be paid from the Settlement Fund in accordance with the terms of the Settlement Agreement.

- 21. The Settlement Agreement and this Order are not admissions of liability or fault by One Planet, or the Released Parties, or a finding of the validity of any claims in the Action or of any wrongdoing or violation of law. The Agreement and Settlement are not a concession by One Planet, or the Released Parties, and neither this Order and Judgment, nor any of its terms or provisions, nor any of the negotiations or proceedings connected with it, shall be offered as evidence or received in evidence or used in any way in any pending or future civil, criminal, or administrative action or any other proceeding to establish any liability or wrongdoing of, or admission by One Planet or the Released Parties. Notwithstanding the foregoing, nothing in this Order shall be interpreted to prohibit the use of this Order and Judgment in a proceeding to consummate or enforce the Agreement, or to defend against the assertion of Released Claims in any other proceeding. All other relief not expressly granted to the Settlement Class Members is denied.
- 22. The Parties are authorized without further approval from the Court to agree upon such modifications of the Agreement and all exhibits thereto as shall be consistent in all respects with this Settlement Order and Final Judgment and do not limit the rights of Class Members.
- 23. The Court finds, pursuant to Rule 54(a) of the Federal Rules of Civil Procedure, that this Final Judgment should be entered and that there is no just reason for delay in the entry

of this Final Judgment as to Plaintiffs and Defendant. Accordingly, the Clerk is hereby directed to enter Judgment.

IT IS SO ORDERED.

/s/ Algenon L. Marbley
ALGENON L. MARBLEY
CHIEF UNITED STATES DISTRICT JUDGE

Dated: March 25, 2020